

Docket No.: R2180.0189/P189

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Takaaki Negoro et al.

Confirmation No.: 9540

Application No.: 10/769,817

Group Art Unit: Not Yet Assigned

Filed: February 3, 2004

Examiner: Not Yet Assigned

For:

SEMICONDUCTOR DEVICE HAVING

DMOS AND CMOS ON SINGLE

SUBSTRATE

#### DECLARATION OF SHUICHI TOMITA IN SUPPORT OF PETITION UNDER CFR 1.47(A)

Assistant Commissioner for Patents Washington, D.C. 20231

- I, Shuichi Tomita, hereby declare and state as follows:
- 1). I am a member of the intellectual property group of Ricoh Technology Research, Inc. and I am in charge of the administrative matters concerning the above-identified application which has been assigned to Ricoh Company, Ltd. As part of my duties, I was assigned the task of trying to locate Takeshi Kimura, the third named inventor of the invention claimed in the above-identified patent application.
- 2). After diligent efforts, I have been unable to locate Takeshi Kimura. The events and facts concerning the diligent efforts to locate Mr. Kimura, as well as his last known address, are described below.

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3). At the time of invention, Mr. Kimura was employed by Ricob Company, Ltd. Since that time, his employment with Ricoh Company, Ltd. ("Ricoh") has been terminated. Ricoh has subsequently been unable to reach Mr. Kimura following the filing of this patent application.

- 4). On March 10, 2004, a copy of the entire specification of the abovereferenced patent application was sent to the last known address of Mr. Takeshi Kimura.

  The Oath and Declaration was also sent in this package, for Mr. Kimura's signature. The
  package was shipped by the over-night delivery company named "Kuroneko-Yamato."
- 5). On March 11, 2004, a delivery slip was returned from Kuroneko-Yamato, stating (equivalently in Japanese) that "a recipient moved to an unknown place and therefore the package should return." This passage was written by a delivery person named Hosoy, and is circled in red on the attached copy of the delivery slip.
- 6). After March 11, 2004, we contacted several of Mr. Kimura's former colleagues at Ricoh; however, none of his former colleagues are familiar with his whereabouts. The Personnel Department at Ricoh also lists the same address information listed below, which is where the March 10, 2004 package was sent.
- 7). During the first two weeks of June 2004, several attempted telephone calls were placed to reach Mr. Kimura at his last known telephone number (045-9438724).

  None of these attempts were successful. Although the telephone line appeared operative, no one answered the calls.

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8). An Internet search was also conducted for information on "Takeshi Kimura." The Internet search provided no new information on Mr. Kimura's whereabouts.

- 9). On June 3, 2004, we contacted the Post Office in Yokohama-shi to obtain a forwarding address for Mr. Kimura from his local Post Office. The Post Office refused our request for the personal information. At the Post Officer's suggestion, a letter stamped "URGENT" was mailed to the last known address of Mr. Kimura on June 10, 2004, but we have not received a reply to that letter.
  - 10). The last known address for Takeshi Kimura is:

Chigasaki-Minami, 4-12-4-207, Tsuduki-ku

Yokohama-shi, Kanagawa-ke, Japan.

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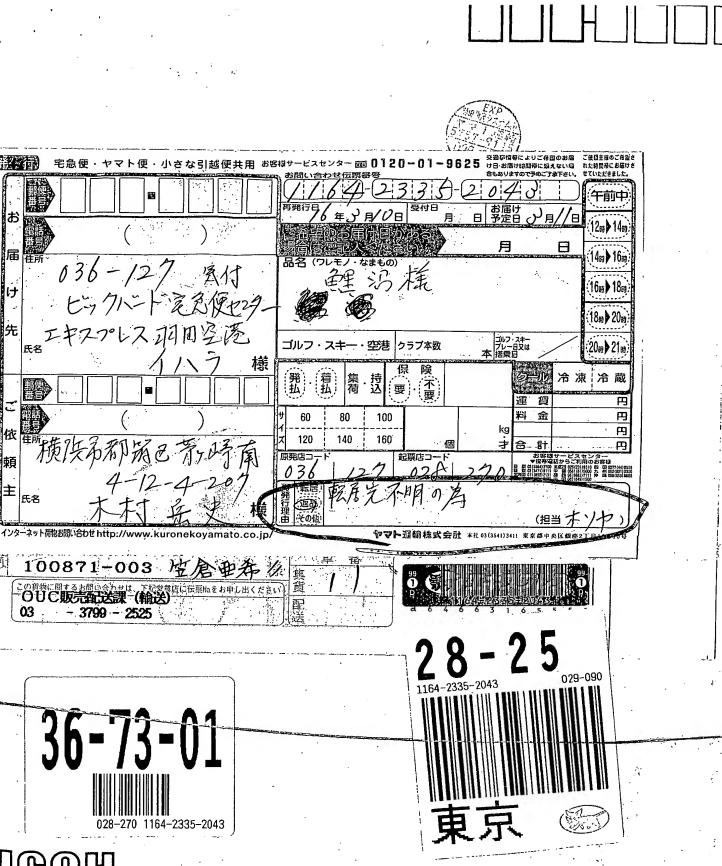
11). At this point, it seems unlikely that an inventor's declaration can be procured from Mr. Kimura as all available means to contact him have been exhausted.

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the above-identified patent.

July 12, 2004

Shuichi Tomita

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越依

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氏名

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### テクノリサーチ株式会社

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東京都品川区南品川6-11-6

リコー三愛グループ健康保険組合地下1階

■新横浜事業所

Te:(03)5796-0501(代表) RIFAX:(03)5796-0502 神奈川県横浜市港北区新横浜3-2-3 〒222-8530 Te:(045)477-1443(代表) RIFAX:(045)477-1600

**Image Communication** 

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#### **Declaration and Power of Attorney for Patent Application**

#### 特許出願宣言書及び委任状

## Japanese Language Declaration

日本語	<b>在宣書</b>
私は、以下に記名された発明者として、ここに下記の通り宜言する:	As a below named inventor, I hereby declare that:
私の住所、郵便の宛先そして国籍は、私の氏名の役に記載された過 りである。	My residence, post office address, and citizenship are as stated next to my name.
下記の名称の発明について、特許請求範囲に記載され、且つ特許が 求められている発明主題に関して、私は、最初、最先且つ唯一の発明 者である(唯一の氏名が記載されている場合)か、或いは最初、最先 且っ共利発明者である(数数の氏名が記載されている場合)と信じて いる。	I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
SEMICONDUCTOR DEVICE HAVING DMOS AND CMOS ON SINGLE SUBSTRATE	SEMICONDUCTOR DEVICE HAVING DMOS AND CMOS ON SINGLE SUBSTRATE
上記発明の明細裏はここに減付されているが、下記の額がチェック されている場合は、この限りでない:	the specification of which is attached hereto unless the following box is checked:
X 02/03/2004 の日に出版され、 この出版の米国出版番号またはPCT国際出版番号は、 であり、且つ	was filed on 02/03/2004 as United States Application Number or PCT International Application Number and was amended on (if applicable).
の日に補正された出版(該当する場合) 「私は、上記の補止異によって補化された、特許請求報題を含む上記 財経者を検討し、且つ内容を理解していることをここに表明する。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
私は、連邦規則法典第37編規則1.56に定義されている、特許 性について重要な情報を関示する義務があることを認める。	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

	Japanese Languag	ge Declaration	
	(日本語)	<b>注音</b> 自〉	
数は、ここに、以下に記載した外国での特許出版出版、或いは米国以外の少なくとも一国を指定して5編第365条(a)によるPC丁国際出版について(d)項又は第365条(a)項に基づいて優先権を主法を表出版の出版目よりも前の出版目的特別と主法する本出版の出版目よりも前の出版目的は最近による本出版の出版。或いはPC丁国際のかなる出版も、下記の枠内をチェックすることに	「いる米国法典第3 、同第1 1 9 糸 (a) 張するとともに、 3 を有する外国での 8 出版については、	I hereby claim foreign priority under Title 35, U Section 119(a)-(d) or 365(b) of any foreign patent or inventor's certificate, or 365 (a) of any application which designated at least one cour United States listed below and have also ide checking the box, any foreign application for pacertificate, or PCT International application habefore that of the application for which priority is	application(s) for PCT International stry other than the entified below, by atent, or inventor's aving a filing date
		Pri	iority Not Claimed
Prior Foreign Application(s)			凭権を抵なし
外国での先行出版			
2003-029375 (Number)	Japan (Country)	06/02/2003 (Day/Month/Year Filed)	
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(番号)	(度名)	(出版日/月/年)	
私は、ここに、 F記のいかなる米国収待許出版に 国法典第35編119条 (e) 項の利益を主張する。	こついても、その米	I hereby claim the benefit under Title 35, Un Section 119(e) of any United States provision listed below.	
(Aug Hooden No.)	(Filing Date)		
(Application No.) (出題器号)	(Filing Date) (出版日)		
	>		
(Application No.)	(Filing Date)		
(出願無分)	(出額日)		
私は、ここに、下記のいかなる米湿出頭につい 病第35以第120条に基づく利益を主張なし、又の 病第35以前のは、120条に基づく利益を主張なる。 を主張する。また、120条ので、12	K 医を指定するいか た(c) に基づく利益 と題が、米国内法 デオる米国内法 ででの大田 の所関中にの のの間ではた のに定義を のにで表	I hereby claim the benefit under Title 35, Ur Section 120 of any United States application(s) PCT International application designating the U below and, insofar as the subject matter of each this application is not disclosed in the prior Un International application in the manner prov paragraph of Title 35, United States Code acknowledge the duty to disclose information w patentability as defined in Title 37, Code of Fe Section 1.56 which became available between the prior application and the national or PCT I date of this application.	o, or 365(c) of any nited States, listed h of the claims of ited States or PCT rided by the first e Section 112, I which is material to deral Regulations, the filing date of
(Application No.)	(Filing Date)	(Status Patented, Pending, Aba	
(川屬市子	- friences	र न्या ४४० र पश्चिम त्या । प्रस्ति विकास विका स्थापन	10 7K /
(Application No.)	(Filing Date)	(Status Patented, Pending, Aba	ndoned)
(出願器号)	(出版日)	(項訊:特許許可、係属中、	放棄)
私は、ここに表明された私自身の知識に採わる。 且つ情報と信ずることに基づく應達が、真実である。 を置言し、さらに、故意に虚偽の顕述などを行った 第18期第1001条に基づき、耐金または拘禁、 により処罰され、またそのような故意による進品の たはそれに対して発行されるいかなる特許も、その ずることを理解した上で護述が行われたことを、こ	5 と信じられること に場合は、米田法典 若しくは、本の両方 のほ述は、本出版ま の有効性に問題が生	I hereby declare that all statements made he knowledge are true and that all statements made and belief are believed to be true; and further the were made with the knowledge that willful fall the like so made are punishable by fine or imprunder Section 1001 of Title 18 of the United St such willful false statements may jeopardize the application or any patent issued thereon.	de on information at these statements lse statements and isonment, or both, ates Code and that

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#### Japanese Language Declaration (日本語宜書書)

委任状: 私は本出版を審査する手続を行い、且つ米国特許總領庁と の主ての業務を遂行するために、記名された発明者として、下記の打 推士及び/または弁理士を任命する。 (氏名及び乗替番号を記載する (ع ت

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

Thomas J. D'Amico, 28,371	Thomas J. D'Amico, 28,371
<b>書類送付先</b>	Send Correspondence to:
DICKSTEIN SHAPIRO MORIN & OSHINSKY	DICKSTEIN SHAPIRO MORIN & OSHINSKY
LLP	LLP
2101 L Street NW	2101 L Street NW
Washington, DC 20037-1526	Washington, DC 20037-1526
近通電話路格先:(氏名及び電話器号)	Direct Telephone Calls to: (name and telephone number)
Thomas J. D'Amico, (202) 828-2232 (facsimile: (202) 887-0689)	Thomas J. D'Amico, (202) 828-2232 (facsimile: (202) 887-0689)

唯一または第一発明者氏名 Takaaki Negoro	Full name of sole or first inventor Takaaki Negoro
発明者の署名 日付	Inventor's signature Date  Jakaaki Nezoro February 22.2004
位所	Residence
Hyogo-ken, Japan	Hyogo-ken, Japan
以籍	Citizenship
Japan	Japan
郵便の宛先	Post Office Address
c/o Ricoh Company, Ltd.	c/o Ricoh Company, Ltd.
3-6, Nakamagome 1-chome	3-6, Nakamagome 1-chome
Ohta-ku, Tokyo 143-8555	Ohta-ku, Tokyo 143-8555
JAPAN	JAPAN

「第二共同発明者がいる場合、その氏名		Full name of second joint inventor, if any
Keiji Fujimoto		Keiji Fujimoto
第二共同発明者の署名	日付	Second inventor's signature Date
	í	Keiji Jujimoto Jebruary 16.2004
位所		Residence
Hyogo-ken, Japan		Hyogo-ken, Japan
直接		Citizenship
Japan		Japan
郵便の宛先		Post Office Address
c/o Ricoh Company, Ltd.		c/o Ricoh Company, Ltd.
3-6, Nakamagome 1-chome		3-6, Nakamagome 1-chome
Ohta-ku, Tokyo 143-8555		Ohta-ku, Tokyo 143-8555
JAPAN		JAPAN

(第三以下の共同発明者についても同様に記載し、署名を すること)

(Supply similar information and signature for third and subsequent joint inventors.)

Takeshi Kimura	Full name of third joint inventor, if any Takeshi Kimura
発明者の署名 日付 ・	Inventor's signature Date
<b>位</b> 昞	Residence
Kanagawa-ken, Japan	Kanagawa-ken, Japan
以籍	Citizenship
Japan	Japan
郵便の宛先	Post Office Address
c/o Ricoh Company, Ltd.	c/o Ricoh Company, Ltd.
3-6, Nakamagome 1-chome	3-6, Nakamagome 1-chome
Ohta-ku, Tokyo 143-8555	Ohta-ku, Tokyo 143-8555
JAPAN	JAPAN
JAI AN	Full name of fourth joint inventor, if any
発明者の署名 日付	Inventor's signature Date
<b>位</b> 所	Residence
以希	Citizenship
郵便の宛先	Post Office Address
発明者の署名 E 付	Full name of fifth joint inventor, if any  Inventor's signature Date
位 所	Residence
	Citizenship
U FF	Citizentinp
<b>貨籍</b> 郵便の宛先	Post Office Address
郵便の宛先	Post Office Address
郵便の宛先	Post Office Address  Full name of sixth joint inventor, if any
郵便の宛先 発明者の署名 住所	Post Office Address  Full name of sixth joint inventor, if any  Inventor's signature Date
郵便の宛先 乗明者の署名 日付	Post Office Address  Full name of sixth joint inventor, if any  Inventor's signature Date  Residence
郵便の宛先 発明者の署名 住所 関籍	Post Office Address  Full name of sixth joint inventor, if any  Inventor's signature Date  Residence  Citizenship